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STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10087027
Filing Date	2002-02-28
First Named Inventor	Adam W. Smith
Art Unit	2194
Examiner Name	CHARLES E ANYA
Attorney Docket Number	MS1-0861USC1

**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5943424		1999-08-24	Berger, ; et al.	
	2	6381632		2002-04-30	Lowell	

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**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020152244	A1	2002-10-17	Dean, Sara Elo; et al.	
	2	20020184401	A1	2002-12-05	Kadel, Richard William JR.; et al.	

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**FOREIGN PATENT DOCUMENTS**

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	1							<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Ensel, et al., "Managing Application Service Dependencies with XML and the Resource Description Framework", IEEE, May 2001, pp 661-674	<input type="checkbox"/>
	2	Hou, et al., "Object-Oriented Representation for XML Data", IEEE, April 2001, pp 40-49	<input type="checkbox"/>
	3	Sneed, "Wrapping Legacy COBOL Programs behind an XML-Interface", IEEE, October 2001, pp 189-197	<input type="checkbox"/>

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

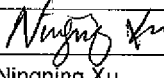
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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2002-04-26
Name/Print	Ningning Xu	Registration Number	L0293

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